PTO/SB/21 (02-04)
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TPANEMITTAL	Application Number	10/659,735
MANSIVITIAL	Filing Date	September 9, 2003
ξ;\ FORM	First Named Inventor	Antoni Kozlowski
0 7 2004 ) (to be used for all correspondence after initial filing)	Art Unit	1614
, k <sup>i</sup>	Examiner Name	Unassigned
ALENTAL Number of Pages in This Submission	Attorney Docket Number	SHE0064.00
E	ENCLOSURES (Check all that	t apply)
Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)  Remarks	Other Enclosure(s) (please Identify below): Copies of 5 References; Copy of International Search Report
SIGNATUF	RE OF APPLICANT, ATTORNE	EY, OR AGENT
or ndividual name   Mark A. Wilson; NEK	KTAR THERAPEUTICS	
Signature Mark a. Milson		
Date May 3, 2004	<u> </u>	
	TIFICATE OF TRANSMISSION	I/MAII ING
hereby certify that this correspondence is being	facsimile transmitted to the USPTO or pe addressed to: Commissioner for Pate	deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 or
yped or printed name  Karen J. Moir		
Signature Kaux	M	Date May 3,2004
This collection of information is required by 37 CFR 1.3.	The information is required to obtain or retain	a a benefit by the public which is to file (and by the USPTO t

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Antoni Kozlowski

SHE0064.00

Examiner: Unassigned

Filed: September 9, 2003

Title: METHOD FOR PREPARING WATER
SOLUBLE POLYMER DERIVATIVES

BEARING A TERMINAL

CARBOXYLIC ACID

Atty Docket No.:

SHE0064.00

Examiner: Unassigned

May 3, 2004

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 pn May 3, 2004

Signed:

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

Commissioner for Patents Washington, DC 20231

Dear Sir:

The references listed in the attached Form PTO/SB/08A,B may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56, 1.97, and 1.98. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Copies of the references are enclosed, as required under 37 CFR §§1.98.

This Information Disclosure Statement is being timely filed under 37 CFR §§1.97 and is being filed: X within three months of the filing date of a national application; within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or before the mailing date of a first Office action on the merits (whichever event occurs last); X one of the following statements as specified in 37 CFR 1.97(e) that: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or  $\boxtimes$ If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0348. Respectfully submitted, **NEKTAR THERAPEUTICS** da Wiles Dated: Mar 3, 2004 By: Reg. No. 43,275 San Carlos, CA 94070 (650) 631-3100

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO	Complete it Known		
3910	Application Number	10/659,735	
/ INFORMATION DISCLOSURE	Filing Date	09/09/2003	
MAY 0 7 200 STATEMENT BY APPLICANT	First Named Inventor	Antoni Kozlowski	
MAY 0 / 2004 51	Art Unit	1614	
(Use as many sheets as necessary)	Examiner Name	Unassigned	
of 1	Attorney Docket Number	SHE0064.00	

			U. S. PATENT	DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	BB	<sup>US-</sup> 2002/064546 A1	05/30/2002	Harris J. Milton	Col 2, Par 27-30; Clms 57, 58	
	BC	US- 2001/021763 A1	09/13/2001	Harris J. Milton	Claims 1, 12; Examples 1-3	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>		ent Document  3-Number 4-Kind Code 5 (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6	
	BD	wo	9703106	Α	01/30/1997	Milton Harris J., Kozlowski, Antoni			
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Examiner Signature	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

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Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 

Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known	
			Application Number	10/659,735	
OFFINFORMATION	DISCLOS	SURE	Filing Date	09/09/2003	
STATEMENT B	Y APPLIC	CANT	First Named Inventor	Antoni Kozlowski	
MAY 0 7 2004 (1)			Art Unit	1614	
(Use as many sne	e as many sheets as necessary)		Examiner Name	Unassigned	-
Sheet 1	of	1	Attorney Docket Number	SHE0064.00	

		NON PATENT LITERATURE DOCUMENTS			
Examiner nitials*    Cite   No.   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (to magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cand/or country where published.					
	DE	TOPCHIYEVA I N, "SYNTHESIS OF BIOLOGICALLY ACTIVE POLYETHYLENE GLYCOL DERIVATIVES" Polymer Science USSR, Pergamon Press Ltd. (Oxford, Great Britain), Vol. 32 (No. 5), p. 833-851, 1990.			
	DF	VERONESE F M, "PEPTIDE AND PROTEIN PEGYLATION: A REVIEW OF PROBLEMS AND SOLUTIONS," BIOMATERIALS, ELSEVIER SCIENCE PUBLISHERS BV (Barking, Great Britain), Vol. 22 ( No. 5), p. 405-417, March 2001.			

Examiner Signature	 Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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